

14280 U.S. PTO  
031204

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: NC072-US2/5487-145

DATE: March 12, 2004

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**

17548 U.S. PTO  
10/799328  
031204

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is incorporated herein by reference in its entirety.  
☒ and claims the benefit of Provisional Application No. 60/456,323; filed March 20, 2003.

Inventor(s) or Application Identifier:

**Barry W. Allen**  
**Douglas W. Dowling**  
**Jack A. Smith, Jr.**

Entitled: **OPTICAL FIBER INTERCONNECT CABINETS, TERMINATION MODULES AND FIBER CONNECTIVITY MANAGEMENT FOR THE SAME**

Marguerite E. Gerstner  
Tyco Electronics Corporation  
Intellectual Property Law Department  
M/S R20/2B  
307 Constitution Drive  
Menlo Park, California 94026-1164  
Tel.: 650-361-2483  
Fax: 650-361-5623

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 26 pages of Specification (including 47 claims)
3. ☒ 12 sheets of Formal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)

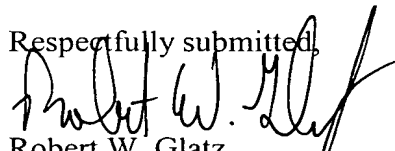
- b. ☐ copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below]  
c. ☐ **DELETION OF INVENTOR(S)** (Signed statement deleting inventor(s) named in the prior application)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Assignment papers (cover sheet(s) and document(s))
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☐ Information Disclosure Statement, PTO-1449, and \_\_\_\_\_ references cited
11. ☐ Preliminary Amendment (**Please enter all claim amendments prior to calculating the filing fee.**)
12. ☐ English Translation Document
13. ☐ Certified Copy of \_\_\_\_\_ Application No. \_\_\_\_\_ ; Filed
14. ☐ Sequence Listing/ Sequence Listing Diskette  
a. ☐ computer readable copy  
b. ☐ paper copy  
c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
17. ☒ Other: Application filed under 1.41(c)

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	47 - 20 =	27	x 09 = \$	x 18 = \$ 486.00
INDEP CLAIMS	4 - 3 =	1	x 43 = \$	x 86 = \$86.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 145 = \$	+ 290 = \$
If the difference in Col. 1 is less than zero, Enter "0" in Col. 2			Total \$	Total \$1342.00

- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$ \_\_\_\_\_
- ☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

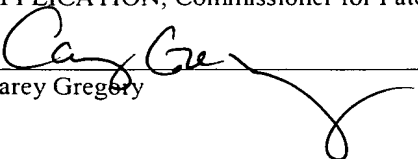
  
Robert W. Glatz  
Registration No. 36,811

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV 381443469 US

Date of Deposit: March 12, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Carey Gregory

Attorney Docket No. NC072-US2/5487-145  
In re: Allen et al.  
Filed: Concurrently Herewith  
Page 2 of 2

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Carey Gregory

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Allen et al.

Serial No. To Be Assigned

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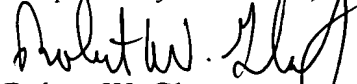
Alexandria, VA 22313-1450

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors, **Barry W. Allen**, a citizen of the United States of America, residing at 408 Bonlee-Bennett Road, Siler City, North Carolina; **Douglas F. Dowling**, a citizen of the United States of America, residing at 106 Whisperwood Drive, Cary, North Carolina, 27511; and **Jack A. Smith, Jr.**, a citizen of the United States of America, residing at 227 Chris Court, Garner, North Carolina, 27529; under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,



Robert W. Glatz

Registration No. 36,811

**Correspondence Address:**

Marguerite E. Gerstner

Tyco Electronics Corporation

Intellectual Property Law Department

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